

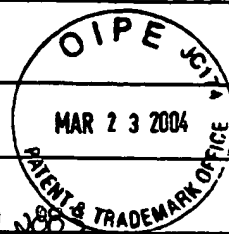
FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: March 23, 2004

ATTY DOCKET NO.  
2004-0308ASERIAL NO.  
10/786,024APPLICANT  
Masahiro UEKAWAFILING DATE  
February 26, 2004

GROUP



## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE   | NAME          | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|--------------------|--------|---------------|-------|----------|-------------------------------|
| 9                    | AA | 6,683,733          | 1/2004 | Uekawa et al. | 359   | 819      |                               |
|                      | AB |                    |        |               |       |          |                               |
|                      | AC |                    |        |               |       |          |                               |
|                      | AD |                    |        |               |       |          |                               |
|                      | AE |                    |        |               |       |          |                               |
|                      | AF |                    |        |               |       |          |                               |
|                      | AG |                    |        |               |       |          |                               |
|                      | AH |                    |        |               |       |          |                               |
|                      | AI |                    |        |               |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|   |    | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|---|----|--------------------|---------|---------|-------|----------|-----------------------|
| 9 | AJ | 1256830A2          | 11/2002 | EP      |       |          |                       |
|   | AK |                    |         |         |       |          |                       |
|   | AL |                    |         |         |       |          |                       |
|   | AM |                    |         |         |       |          |                       |
|   | AN |                    |         |         |       |          |                       |

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

|   |    |  |
|---|----|--|
| 9 | AO | Masahiro UEKAWA et al., "OPTICAL MEMBER WITH HANDLING PORTION AND METHOD FOR MANUFACTURING OPTICAL MEMBER AND METHOD FOR MOUNTING OPTICAL MEMBER AND OPTICAL MODULE", Serial No. 10/724,625, filed December 2, 2003. |
|   | AP |  |
|   | AQ |  |

EXAMINER

DATE CONSIDERED

9/15/2004

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: February 26, 2004

ATTY DOCKET NO.  
2004-0308A

SERIAL NO.

~~NEW~~

10/786,024

APPLICANT  
Masahiro UEKAWAFILING DATE  
February 26, 2004

GROUP

AU 2883

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|--------------------|---------|-----------------|-------|----------|-------------------------------|
| JP                   | AA | 5,841,922          | 11/1998 | Iwatsuka et al. | 385   | 76       |                               |
|                      | AB |                    |         |                 |       |          |                               |
|                      | AC |                    |         |                 |       |          |                               |
|                      | AD |                    |         |                 |       |          |                               |
|                      | AE |                    |         |                 |       |          |                               |
|                      | AF |                    |         |                 |       |          |                               |
|                      | AG |                    |         |                 |       |          |                               |
|                      | AH |                    |         |                 |       |          |                               |
|                      | AI |                    |         |                 |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |  |
|----|----|--------------------|---------|---------|-------|----------|-----------------------|--|
| JP | AJ | 5-343709           | 12/1993 | JP      |       |          | X                     |  |
| JP | AK | 8-23138            | 1/1996  | JP      |       |          | X                     |  |
|    | AL |                    |         |         |       |          |                       |  |
|    | AM |                    |         |         |       |          |                       |  |
|    | AN |                    |         |         |       |          |                       |  |

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AO |  |
|  | AP |  |
|  | AQ |  |

EXAMINER

DATE CONSIDERED

9/15/2004

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.